

# Partial Clones and Constraint Satisfaction

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# Co-Clones

$R \in \langle \Gamma \rangle$  iff there is some  $\Gamma \cup \{=\}$ -formula  $\phi$  such that

$$R(x_1, \dots, x_n) \equiv \exists y_1 \dots y_\ell \phi(x_1, \dots, x_n, y_1, \dots, y_\ell)$$

- ▶  $R(x_1, \dots, x_n)$  is satisfiability-equivalent to  $\phi$
- ▶  $\text{CSP}(R) \leq_m^P \text{CSP}(\Gamma)$
- ▶ Complexity  $\text{CSP}(\Gamma)$  depends only on co-clone.

No obvious reduction for some other problems

# Enumeration

Introduction Existence-Free Co-Clones Galois Applications Conclusion

ENUM( $\Gamma$ ):

Input:  $\Gamma$ -formula  $\phi$

Task: Enumerate all solutions of  $\phi$

Boolean case:

Complexity depends only on  $\langle \Gamma \rangle$ . [Creignou, Hébrard 1997]

But no obvious reduction.

# Equivalence

Introduction Existence-Free Co-Clones Galois Applications Conclusion

EQ( $\Gamma$ ):

Input: two  $\Gamma$ -formulas  $\phi$  and  $\psi$

Question: Is  $\phi$  equivalent to  $\psi$ ?

Boolean case:

Complexity of EQ( $\Gamma$ ) depends only on  $\langle \Gamma \rangle$  [Böhler et al. 2002]

But no obvious reduction

# Existence-Free Co-Clones

Define **existence-free co-clone** of  $\Gamma$ :

$R \in \langle \Gamma \rangle_{\#}$  iff there is some  $\Gamma \cup \{=\}$ -formula  $\phi$  such that

$$R(x_1, \dots, x_n) \equiv \phi(x_1, \dots, x_n)$$

If  $\langle \Gamma_1 \rangle_{\#} = \langle \Gamma_2 \rangle_{\#}$  then

- ▶  $\text{EQ}(\Gamma_1) \equiv_m^P \text{EQ}(\Gamma_2)$
- ▶  $\text{ENUM}(\Gamma_1)$  and  $\text{ENUM}(\Gamma_2)$  have the same complexity

# Existence-Free Co-Clones

Introduction Existence-Free Co-Clones **Galois** Applications Conclusion

Existence-free co-clones are refinement of co-clones.

**Question:** What refinement of clones corresponds to existence-free co-clones?

**Answer:** Partial clones

# Partial Clones

Let  $E \subseteq D^n$ . Then  $f : E \rightarrow D$  is a **partial function** on  $D$ .

$C$  is a **strongly partial clone** if

- ▶  $C$  includes all projections
- ▶  $C$  is closed under composition
- ▶  $f \in C$  implies that all restrictions of  $f$  are in  $C$ .

$[B]_{\text{par}}$  is the strongly partial clone generated by  $B$ .

# Partial Polymorphisms

$f$  is **partial polymorphism** of  $R$  iff

$t_1, \dots, t_n \in R$  such that  $(t_1[i], \dots, t_n[i]) \in E$  for every  $i$  implies

$$\begin{pmatrix} f(t_1[1], \dots, t_n[1]) \\ \vdots \\ f(t_1[k], \dots, t_n[k]) \end{pmatrix} \in R$$

**pPol**( $R$ ) is set of all partial polymorphisms.

pPol( $R$ ) is strongly partial clone.

# The Galois Correspondence

pPol and Inv form a Galois Correspondence [Romov 1978]

- ▶  $\text{Inv}(\text{pPol}(\Gamma)) = \langle \Gamma \rangle_{\#}$
- ▶  $\text{pPol}(\text{Inv}(B)) = [B]_{\text{par}}$

No “Post’s Lattice” for partial clones.

# “Easy” Relations

Obtain simple proof for complexity classification of  $\text{ENUM}(\Gamma)$ .

Difficult part: hardness results for non Schaefer languages.

Find “easiest”  $R \in \langle \Gamma \rangle$  such that

- ▶  $\langle R \rangle = \langle \Gamma \rangle$
- ▶  $\text{ENUM}(R)$  reduces easily to  $\text{ENUM}(\Gamma')$  for all  $\langle \Gamma' \rangle = \langle \Gamma \rangle$ ,  
i.e.  $R \in \langle \Gamma' \rangle_{\#}$

# Extensions

Introduction Existence-Free Co-Clones Galois Applications Conclusion

$$R = \begin{pmatrix} (t_1^1 & \dots & t_r^1) \\ (t_1^2 & \dots & t_r^2) \\ \vdots \\ (t_1^n & \dots & t_r^n) \end{pmatrix}$$

# Extensions

$$R^{\text{ext}} \approx \left( \begin{array}{cccccccc} & \overbrace{\hspace{10em}}^R & & & & & & \\ (t_1^1 & \dots & t_r^1 & 0 & 1 & 0 & \dots & 1) \\ (t_1^2 & \dots & t_r^2 & 0 & 0 & 1 & \dots & 1) \\ & \vdots & & & & \vdots & & \\ (t_1^n & \dots & t_r^n & 0 & 0 & 0 & \dots & 1) \end{array} \right)$$

$$\text{Pol}(R^{\text{ext}}) = \text{Pol}(R)$$

- ▶  $\text{pPol}(\Gamma) \subseteq \text{pPol}(R^{\text{ext}})$  for all  $\text{Pol}(\Gamma) = \text{Pol}(R)$ .
- ▶  $R^{\text{ext}} \in \langle \Gamma \rangle_{\#}$  for all  $\langle \Gamma \rangle = \langle R \rangle$ .

CSP\*( $\Gamma$ ):

Input:  $\Gamma$ -formula  $\phi$

Question: Does  $\phi$  have a non-constant solution?

If CSP\*( $\Gamma$ ) is NP-hard then ENUM( $\Gamma$ ) is not efficient.

# Enumeration and CSP\*

If  $\Gamma$  is not Schaefer, then  $\text{CSP}^*(\Gamma)$  is NP-hard.

- ▶ Let  $R$  such that  $\langle R \rangle = \langle \Gamma \rangle$
- ▶  $\text{CSP}^*(R^{\text{ext}}) \leq_m^P \text{CSP}^*(\Gamma)$
- ▶  $\text{CSP}_c(R)$  is NP-hard [Schaefer 1978]
- ▶ Sufficient to show:  $\text{CSP}_c(R) \leq_m^P \text{CSP}^*(R^{\text{ext}})$

$$\text{CSP}_c(R) \leq_m^P \text{CSP}^*(R^{\text{ext}})$$

Introduction Existence-Free Co-Clones Galois Applications Conclusion

$$\phi = \bigwedge_i R(x_1^i, \dots, x_n^i) \quad x_j^i \in \text{Var} \cup \{0, 1\}$$
$$\downarrow \begin{array}{l} x_j^i = 0 \text{ set } x_j^i = f \\ x_j^i = 1 \text{ set } x_j^i = t \end{array}$$

$$\psi = \bigwedge_i R^{\text{ext}}(x_1^i, \dots, x_n^i, f, y_1^i, \dots, y_\ell^i, t)$$

$$\phi \in \text{CSP}_c(R) \Rightarrow \psi \in \text{CSP}^*(R^{\text{ext}})$$

$$R^{\text{ext}} \approx \begin{pmatrix} (t_1^1 & \dots & t_r^1 & 0 & 1 & \dots & 1) \\ (t_1^2 & \dots & t_r^2 & 0 & 0 & \dots & 1) \\ \vdots & & & & & & \vdots \\ (t_1^n & \dots & t_r^n & 0 & 0 & \dots & 1) \end{pmatrix}$$

$$\text{CSP}_c(R) \leq_m^P \text{CSP}^*(R^{\text{ext}})$$

Introduction Existence-Free Co-Clones Galois Applications Conclusion

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$$\phi \in \text{CSP}_c(R) \iff \psi \in \text{CSP}^*(R^{\text{ext}})$$

$$R^{\text{ext}} \approx \begin{pmatrix} (t_1^1 & \dots & t_r^1 & 0 & 1 & \dots & 1) \\ (t_1^2 & \dots & t_r^2 & 0 & 0 & \dots & 1) \\ \vdots & & & & & & \vdots \\ (t_1^n & \dots & t_r^n & 0 & 0 & \dots & 1) \end{pmatrix}$$

$$\text{Pol}(R^{\text{ext}}) = \text{Pol}(R) \subseteq \{\text{id}, \neg, 0, 1\}$$

# Conclusion

If  $\Gamma$  not Schaefer, then  $\text{ENUM}(\Gamma)$  is not efficient.

Similar re-proof for  $\text{EQ}(\Gamma)$ .

“Hardest” bases identified as well. [Creignou, Kolaitis, Zanuttini 2005]

**Idea:** Use method to see when Pol-Inv-correspondence works:

- ▶ Does “hardest” constraint language from  $\langle \Gamma \rangle$  reduce to “easiest”?