Approximating Almost All Instances of MAX-CUT within a Ratio Above the Håstad Threshold*

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Abstract. We give a deterministic polynomial-time algorithm which for any given average degree d and *asymptotically almost all* random graphs G in $\mathcal{G}(n, m = \lfloor \frac{d}{2} n \rfloor)$ outputs a cut of G whose ratio (in cardinality) with the maximum cut is at least 0.952. We remind the reader that it is known that unless P=NP, for no constant $\epsilon > 0$ is there a MAX-CUT approximation algorithm that for *all inputs* achieves an approximation ratio of $(16/17) + \epsilon$ $(16/17 < 0.94118)$.

1 Introduction

There is a vast and growing literature on approximation algorithms for NP-hard problems. Both in the direction of designing algorithms that give good approximations, as well as in the direction of showing, under a putative hypothesis like P≠NP, that no approximation better than a given bound exists. In this work, we concentrate on the problem of MAX-CUT, that of partitioning the vertex set V of a graph $G = (V, E)$ in two parts so that the number of edges joining vertices in different parts is as large as possible. In more colorful language, MAX-CUT is the problem of coloring the vertices of a graph with two colors (red or blue) so that the bichromatic edges are as many as possible. It is probably needless to elaborate on the interest, from the point of view of either theory or practice, of the NP-hard optimization problem MAX-CUT. Just as an example, let us mention the early considerations of MAX-CUT in relation to circuit layout design and Statistical Physics mentioned in [2] (as pointed out in [5]). In the language of Statistical Physics, MAX-CUT is equivalent to computing the ground energy of the antiferromagnetic Ising model defined on graphs [16].

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For maximization problems, like MAX-CUT, we say that an algorithm $\mathcal{A}$ achieves an approximation ratio $0 < \alpha < 1$, if for any input I, the output of the algorithm $\mathcal{A}(I)$ on I relates to an optimum solution $\mathrm{OPT}(I)$ for I as in:

$$\frac{|\mathcal{A}(I)|}{|\mathrm{OPT}(I)|} \geq \alpha.$$

Similarly, we define the approximation ratio of minimization problems. For general graphs, the best MAX-CUT approximation algorithm is, for more than a decade now, the one by Goemans and Williamson [13], which can achieve a ratio arbitrarily close (from below) to

$$\alpha_{\mathrm{GW}} = \min_{0\leq\theta\leq\pi} \frac{2}{\pi}\frac{\theta}{1-\cos\theta} > 0.87856.$$

Under the Unique Games conjecture, and the Majority is Stablest conjecture, the above approximation ratio was shown to be optimal by Khot et al. [17] (however, very recently a hypothesis only slightly stronger than the Unique Games conjecture was falsified [6]).

Also by a now classical inapproximability result by Håstad [14] and Trevisan et al. [21], unless P≠NP, MAX-CUT cannot be approximated for general graphs by a deterministic algorithm that attains a ratio strictly exceeding 16/17 ($16/17 < 0.94118$).

Let now $\mathcal{G}(n;d)$ be the probability space of random graphs with n vertices and $m = \lfloor \frac{d}{2}n \rfloor$ edges selected uniformly at random. It is convenient for the probabilistic calculations to allow repetitions and even self-loops in the selection of edges. This does not affect the results as such selections happen with vanishingly small probability as n grows large. We say that a property $\mathcal{E}$ holds for asymptotically almost all (a.a.a.) random graphs from $\mathcal{G}(n;d)$ if $\lim_n \mathbf{Pr}[G \in \mathcal{G}(n;d)\ \&\ \mathcal{E} \text{ holds for } G] = 1$. Notice that the negative result for approximation ratios $> 16/17$ does not exclude the possibility of a deterministic algorithm that achieves a ratio of $(16/17) + c$ (c a positive constant) for a.a.a. input instances from $\mathcal{G}(n;d)$, for any given fixed d (see e.g. the pioneering work of Frieze and McDiarmid on graph algorithms on random instances [12]).

With respect to a different problem, namely MAX-SAT, Fernandez de la Vega and Karpinski [9] analyzed an algorithm that achieves an approximation ratio of 8/9 for a.a.a. instances, with any given ratio of clauses to variables (Håstad [14] has proved that there is no approximation algorithm for MAX-SAT whose ratio strictly exceeds 7/8). The ratio of 8/9 was further improved to 19/20 by Interian [15]. These algorithms for MAX-SAT are Davis-Putnam-style heuristics that do not take into account the number of occurrences of the variable selected to be assigned the value "true" at each step.

Similar heuristics, that ignore degree considerations of the vertices to be put into each part of the cut under construction, have been analyzed for the case of MAX-CUT, or more general versions of it like MAX-k-CUT, in various papers (see [16, 7, 8]), giving a series of interesting lower bound results for the optimal cut. The fact that degree considerations are not taken into account in

these algorithms, greatly simplifies their probabilistic analysis. However, as far as the question of the ratio of the size of the output of these algorithms to the size of the optimal cut is concerned, they all yield values that are far below the Håstad threshold, even below the Goemans-Williamson ratio, for values of the average degree in a sizable interval. Also heuristics that take into account degree considerations, but for different graph problems, are analyzed in the work of Beis et al. and others (see [3, 4] and references therein).

To break the Håstad barrier for Max-Cut (for a.a.a. input instances with any given d), it became necessary to follow a double front approach. On one hand, since the size of optimum cut is not known, we had to find improved upper bounds for the optimum cut. On the other, we had to considerably improve the known algorithmic lower bounds. So that using both bounds we could come up with a ratio that exceeds 16/17. Both upper and lower bounds are computed not for the graph itself, but for its 2-core, the maximum induced subgraph whose vertices have degree at least 2. The reason being that, as it is easy to prove, the edges of a graph not belonging to its 2-core, belong to any max cut. Therefore, once we have a max cut of the 2-core, then a max cut of the original graph can be found by adding to the cut the edges that are outside the 2-core. This pruning preprocessing phase considerably improves the bounds, but necessitates carrying our analysis not in $G \in \mathcal{G}(n;d)$, but in the uniform probability space of graphs with a given degree sequence. Our algorithm for the lower bound takes into account the degree of each vertex. The numerical analysis makes use of computer aided computations.

The approximation ratio we get, besides crossing the Håstad threshold, substantially improves the Goemans-Williamson value (0.87856) and thus, to the best of our knowledge, constitutes the first after more than a decade improvement of the approximation ratio of Max-Cut, valid for general graphs (but only for a.a.a. input instances with any given average degree). In the next section we give some necessary formal definitions, state the results and give some preliminary facts. The main results and constructions are given in the sections that come after the next one.

2 Preliminaries

Definition 1. *Given a cut $\mathcal{C}$ of a graph G, the cut size of $\mathcal{C}$, denoted by $|\mathcal{C}|$, is the number of edges of G that connect vertices in different parts of $\mathcal{C}$ (bichromatic edges).*

We now give definitions of a.a.a. upper and lower bounds that are given as percentages of (scaled with respect to) m, the number of edges.

Definition 2. *A function $\mathrm{ub} : \mathbb{R}^+ \to \mathbb{R}^+$ a.a.a. defines a scaled (with respect to the number of edges m) upper bound $\mathrm{ub}(d)$ for the maximum cut size $\mathrm{mc}(G)$ of a random graph $G \in \mathcal{G}(n;d)$ if*

$$\lim_n \mathbf{Pr}\left[G \in \mathcal{G}(n;d) \quad \& \quad (\mathrm{ub}(d) + o(1))m \geq \mathrm{mc}(G)\right] = 1, \forall d > 0.$$

Definition 3. *Given (i) a function* $\mathrm{lb} : \mathbb{R}^+ \to \mathbb{R}^+$ *and (ii) a deterministic algorithm* $\mathcal{A}$ *that on input a graph* G *outputs a cut* $\mathcal{A}(G)$ *of* G*, we say that* $\mathcal{A}$ *a.a.a. establishes a scaled (with respect to the number of edges* m*) lower bound* $\mathrm{lb}(d)$ *on the maximum cut size of a random graph in* $G \in \mathcal{G}(n;d)$ *if*

$$\lim_n \mathbf{Pr}\left[G \in \mathcal{G}(n;d) \;\;\&\;\; (\mathrm{lb}(d) - o(1))m \leq |\mathcal{A}(G)|\right] = 1, \forall d > 0.$$

Proposition 1. *If there are functions* ub *and* lb *and an algorithm* $\mathcal{A}$ *as in Definitions (2) and (3), then* $\forall d$ *and* $\forall \epsilon > 0$*,* $\mathcal{A}$ *achieves an approximation ratio* $\frac{\mathrm{lb}(d)}{\mathrm{ub}(d)} - \epsilon$ *for* Max-Cut *for a.a.a. input instances* $G \in \mathcal{G}(n;d)$*.*

Proof. Immediate from the definitions. □

Theorem 1. *There are functions* ub *and* lb *and an algorithm* $\mathcal{A}$ *as in Definitions (2) and (3) such that* $\forall d$*,* $\frac{\mathrm{lb}(d)}{\mathrm{ub}(d)} > 0.952$*.*

Proof. For the case when $d < 1$, then by the proof in [8, Theorem 19] we know that the cut obtained by considering (i) all edges of the tree-components of G, (ii) all edges of its even cyclic components and (iii) all edges but one of its odd cyclic components has cardinality equal to the total number of edges of G within an $o(1)$ additive term. This procedure defines the algorithm $\mathcal{A}$. Also, we set $\mathrm{ub}(d) = \mathrm{lb}(d) = 1$.

For the case of large d, first observe that by coloring red an arbitrary half of the vertices of G, we get a trivial lower bound $\mathrm{lb}(d) = (1/2) - \epsilon$, for any $\epsilon > 0$. By combining this trivial lower bound with the upper bound $\mathrm{ub}(d) = 1/2 + \sqrt{(\ln 2/d)}$ [5], we easily get by solving for d the equation

$$\frac{1/2}{1/2 + \sqrt{(\ln 2/d)}} = \frac{16}{17},$$

that the Theorem holds for $d > 710$,

As for the interval $1 \leq d \leq 710$, in Section 4 we define the function ub, while in Section 5 we describe and analyze the algorithm $\mathcal{A}$ and define the function lb. The computations involved are computer-aided (but the probabilistic analysis and the derivations of all formulas are analytic). The computer-aided analysis shows that the for $d \geq 20$, the ratio $\frac{\mathrm{lb}(d)}{\mathrm{ub}(d)}$ is bounded below by numbers greater than 0.952 by 0.01 or more. Actually for $d \geq 20$, easier upper and lower bound functions, some of which already given in the literature [16, 7, 8], yield a ratio $\frac{\mathrm{lb}(d)}{\mathrm{ub}(d)}$ that easily exceeds 0.952. So in the following sections we concentrate in the interval $[1, 20]$, where the real difficulty lies, i.e. the interval where the ratio $\frac{\mathrm{lb}(d)}{\mathrm{ub}(d)}$ for the improved upper and lower bound functions that we define closely approaches from above the value 0.952. □

Corollary 1 (Main Result). *There is a deterministic algorithm* $\mathcal{A}$ *such that for any average degree* $d > 0$*,* $\mathcal{A}$ *achieves an approximation ratio* 0.952 *for* Max-Cut *for a.a.a. random graphs in* $\mathcal{G}(n;d)$*.*

Proof. Immediate from Theorem 1 and Proposition 1. □

3 The 2-Core

The 2-core of a graph G is defined to be the largest induced subgraph of G with minimum degree at least 2. For technical reasons, we use an essentially equivalent but formally slightly different definition:

Definition 4. *Given a graph $G = (V, E)$ the 2-core of G, denoted by $K_2(G)$, is the unique subgraph $K_2(G) = (V, E')$, where E' is the maximum (with respect to set-inclusion) subset of E so that with respect to $K_2(G)$ all vertices in V have degree either zero (isolated vertices) or degree at least 2.*

By our definition, the 2-core results by edge-deletions only (and no change in the set of vertices) and the resulting graph has either isolated vertices or vertices of degree at least 2 (retaining throughout our analysis the same set of vertices avoids unnecessary technical complications).

It immediately follows by well known results that $K_2(G)$ can be obtained from G by recursively deleting one at a time and in any order edges that are incident on vertices of degree 1. By assumption, when we delete the edge incident on a vertex v of degree 1, v remains in the graph (but becomes isolated).

Consider now the uniform probability space of graphs such that the number of vertices of degree i is $(e^{-d}(d^i/i!)+o(1))n$, i.e. graphs whose degree sequence is Poisson distributed with mean d. It is known that a.a.a. graphs in $\mathcal{G}(n; d)$ have a Poisson distributed degree sequence with mean d.

In general, let $\mathcal{G}(n; \langle d_i\rangle_{i=0,\ldots,m})$ be the uniform probability space of graphs with n vertices and scaled degree sequence $\langle d_i\rangle_{i=0,\ldots,m}$ (i.e. the number of vertices of degree i is $(d_i+o(1))n$; d_i are assumed to be independent of n). For such graphs we use the configuration model which models random pairings of copies of the vertices, the number of copies of each vertex being equal to its degree. It is well known that results that hold for a.a.a. such pairings in the configuration model, also hold for a.a.a. uniformly distributed simple graphs with the same degree sequence.

It is known that if G is random with a Poisson degree sequence, then $K_2(G)$ is random in $\mathcal{G}(n; \langle d_i\rangle_{i=0,\ldots,m})$ for the same n and a new degree sequence $\langle d_i\rangle_{i=0,\ldots,m}$, for which $d_1 = 0$. To compute the new degree sequence, we follow the technique of differential equations of Wormald [22]: we write differential equations that give the dynamics each d_i during the execution of the edge-deletion process. The solution of the differential equations give the final values of d_i within $o(1)$, for $i = 0, \ldots, n-1$. These values hold for a.a.a. input graphs. Our analysis closely follows the methodology given by Mitzenmacher [18] for the case of deletion of pure literals from 3-SAT formulas. We symbolically solve the resulting system of differential equations. Actually, the system of differential equations in our case is easier to obtain and solve, as we do not have the complication of handling the negation of a deleted literal. For reasons of space, we avoid the details (that follow standard techniques) and only give the final result without proof:

Theorem 2. *The number of vertices of degree $i = 0, \ldots, m$ of the 2-core $K_2(G)$ of a random graph G in $\mathcal{G}(n, m = \lfloor \frac{d}{2} n \rfloor)$ is a.a.a. $(d_i + o(1))n$, where*

$$d_i = \begin{cases} -\frac{1}{d} W_d (d + 1 + W_d) \text{ when } i = 0, \\ 0 \text{ when } i = 1, \\ -\frac{W_d}{d} \frac{(d+W_d)^i}{i!} \text{ when } i \geq 2, \end{cases} \tag{1}$$

and where W_d is Lambert$W(-de^{-d})$, i.e. the value of the principal branch of Lambert's W-function at $-de^{-d}$. Also the number of deleted edges during the edge deletion process that yields the 2-core a.a.a. is $\left(-W_d - \frac{W_d^2}{2d} + o(1)\right) n$. Finally, a property holds a.a.a. for $K_2(G)$ iff it holds a.a.a. for a random graph conditional its degree sequence is as described in Equation (1) above.

It can be easily seen that the number of the edges that are deleted to yield the 2-core are part of any maximum size cut. Therefore, the size of the max cut of G can be obtained from the size of the max cut of $K_2(G)$ by adding to the latter the number $\left(-W_d - \frac{W_d^2}{2d} + o(1)\right) n$. It easily follows that:

Proposition 2. *If the functions* $\mathrm{ub}(d)$ *and* $\mathrm{lb}(d)$ *give scaled (with respect to the number of edges m) upper and lower, respectively, bounds for the size of the max cut of a random graph conditional its degree sequence is that of Theorem 2, then the functions* $\mathrm{ub}(d) + 2\left(-W_d - \frac{W_d^2}{2d}\right)/d$ *and* $\mathrm{lb}(d) + 2\left(-W_d - \frac{W_d^2}{2d}\right)/d$ *give scaled (with respect to the number of edges m) upper and lower bounds, respectively, for the size of the maximum cut of a random graph in $\mathcal{G}(n, m = \lfloor \frac{d}{2} n \rfloor)$.*

The previous proposition allows us to work with a random graph conditional its degree sequence is as in Theorem 2.

4 The Upper Bound

For a random graph in $\mathcal{G}(n, m = \lfloor \frac{d}{2} n \rfloor)$, a simple application of the first moment method gives that the maximum cut is no more than $\left(\frac{1}{2} + \sqrt{\frac{\ln 2}{d}}\right) \frac{d}{2} n$ for a.a.a. input instances with average degree d, for $d \geq 4 \ln 2$ [5]. This bound is established by estimating the probability of existence of a cut of a given size z by the expectation of the number of cuts of size z. However, first moment estimations are in general, and in this particular case as well, rather gross.

Another well known approach to the question of finding an upper bound for the optimum cut is by semidefinite relaxation of the problem [13]. However, it is in general difficult to estimate the average-case (or typical-case, i.e. a.a.a.-instances-case) output of a semidefinite program. A related result can be found in [7, Theorem 4], which however gives an estimation of the SDP upper bound of Max-Cut in terms of an unspecified constant only. An earlier bound was obtained by Linear Programming relaxation [2]. However, with respect to sparse graphs, it is shown in [20] that the upper bound obtained by an LP relaxation

of Max-Cut is a.a.a. at most the total number of edges, i.e. no information is obtained.

So we have to resort to other means in order to compute a better bound suited for typical-case considerations. We compute the expected number of *majority* cuts of given size z for a random graph conditional its degree sequence is as in Theorem 2.

Definition 5. *A cut is called a majority cut if (i) at least half of the edges incident on any vertex are bichromatic (i.e., they connect vertices in different parts of the cut) and (ii) any vertex of even degree whose exactly half of its incident edges are bichromatic is necessarily colored red (i.e., it belongs to a prescribed part of the cut).*

Proposition 3. *If a cut of size z exists then also a majority cut of size at least z exists.*

Proof. Given a cut which is not necessarily a majority cut move —one at a time and recursively— vertices that violate any of the two conditions of Definition 5 to the other part of the cut. In any such move, the cut size either remains constant or strictly increases. Also, the process cannot continue indefinitely, as at each move either (i) the cut size increases strictly (when we move a vertex with a minority of bichromatic incident edges), or alternatively (ii) the cut size remains constant but the cardinality of the vertices colored red strictly increases in comparison to its immediately previous value (when we move to the red color a vertex with equal number of bichromatic and monochromatic incident edges). To prove more formally that the process does not continue indefinitely, introduce as the *potential* of a cut the pair of numbers (c, r), where c is the current size of the cut and r is the current cardinality of red vertices, order the set of these pairs lexicographically and observe that each move of a violating vertex to the other part drives the cut to a strictly higher potential, because each move either strictly increases c, or keeps c constant and strictly increases r (in comparison to its previous value). Therefore there must be a stopping time. □

Let $\mathcal{G}(n; d, 2\text{-core})$ denote the uniform probability space of the 2-core of a random graph in $\mathcal{G}(n, m = \lfloor \frac{d}{2} n \rfloor)$ (see Theorem 2 and Proposition 2 of Section 3). In the sequel, let G be a random graph in $\mathcal{G}(n; d, 2\text{-core})$.

Let $\mathcal{C}_\zeta(G)$ be the class of all majority cuts of G with cut size *at least* ζm, where ζ is a real in $[0, 1]$ and $\zeta m = \zeta \lfloor (d/2)n \rfloor$ is an integer in $\{0, \ldots, m\}$. We will compute an a.a.a. scaled upper bound $\mathrm{ub}(d)$ to the values of ζ for which $\mathcal{C}_\zeta(G) \neq \emptyset$ (which by Proposition 3 is also an a.a.a. scaled upper bound to the maximum cut size of G) by finding a minimum value of ζ such that:

$$\lim_n \mathbf{Pr}[|\mathcal{C}_\zeta(G)| > 0] = 0 \tag{2}$$

Towards this end, first observe that the following Markov-type inequality holds:

$$\mathbf{Pr}[|\mathcal{C}_\zeta(G)| > 0] \leq \mathbf{Ex}(|\mathcal{C}_\zeta(G)|). \tag{3}$$

Therefore, to find a minimum ζ for which Equation 2 holds, it is sufficient to find a minimum ζ for which

$$\lim_n \mathbf{Ex}(|\mathcal{C}_\zeta(G)|) = 0. \tag{4}$$

Let now $\mathcal{E}(b_{00}, b_{11}, b_{01})$ be the expected number of majority cuts whose edges connecting two red (respectively, blue, of different color) vertices have cardinality *exactly* $b_{00}n$ (respectively, $b_{11}n, b_{01}n$), where b_{00}, b_{11}, b_{01} belong to the interval $[0, 1]$ and sum to the scaled number of edges $d/2$. It is easy to see that Equation (4) holds iff the following is true:

$$\lim_n \left(\max_{\zeta m \le b_{01}n, b_{00}, b_{11}} \{\mathcal{E}(b_{00}, b_{11}, b_{01})\} \right) = 0. \tag{5}$$

In the next subsection we show how to compute $\mathcal{E}(b_{00}, b_{11}, b_{01})$ and find the smallest ζ for which Equation (5) holds. The technique for such a computation is standard. See for example [11, 10].

4.1 Computing and Maximizing $\mathcal{E}(b_{00}, b_{11}, b_{01})$

We remind the reader that G is a random graph in $\mathcal{G}(n; d, 2\text{-core})$, therefore it has average degree d and its degree sequence $\langle d_i \rangle$ is a.a.a. as described in Theorem 2 of Section 3.

Given a majority cut, let $\delta(0, i, s)$ (respectively, $\delta(1, i, s)$) be the scaled with respect to n number of red (respectively, blue) vertices of degree i and with exactly s edges connecting them to a blue (respectively, red) vertex. By the definition of a majority cut we have that

$$\delta(0, i, s) = 0 \text{ if } s < \lfloor \frac{i+1}{2} \rfloor \text{ and } \delta(1, i, s) = 0 \text{ if } s < \lceil \frac{i+1}{2} \rceil, \forall i = 0, \ldots, m. \tag{6}$$

For reasons of notational symmetry, set $b_{10} = b_{01}$. The b's and the δ's are subject to the following constraints:

$$\begin{aligned}
d_i &= \sum_{s \le i} \left(\delta(0, i, s) + \delta(1, i, s) \right), \forall i = 0, \ldots, m, \\
b_{01} &= \sum_{i, s \le i} s\delta(0, i, s), \\
b_{10} &= \sum_{i, s \le i} s\delta(1, i, s) = b_{01}, \\
b_{00} &= \frac{1}{2} \sum_{i, s \le i} (i - s)\delta(0, i, s), \\
b_{11} &= \frac{1}{2} \sum_{i, s \le i} (i - s)\delta(1, i, s).
\end{aligned} \tag{7}$$

Let now $\mathcal{F}(b_{00}, b_{11}, b_{01}, \langle\delta(k,i,s)\rangle)$ be the expected number of majority cuts when besides the b's, the δ's as well are given ($\langle\delta(k,i,s)\rangle$ denotes the sequence of δ's for all possible values of k, i, s). Then

$$\mathcal{E}(b_{00}, b_{11}, b_{01}) \asymp^{1} \max_{\langle\delta(k,i,s)\rangle} \{\mathcal{F}(b_{00}, b_{11}, b_{01}, \langle\delta(k,i,s)\rangle)\}, \tag{8}$$

where the max in the r.h.s. of the previous equation is over all possible sequences $\langle\delta(k,i,s)\rangle$ that satisfy Equations (6, 7).

By counting arguments and easy asymptotics we get that:

$$\begin{aligned}&\left(\mathcal{F}(b_{00}, b_{11}, b_{01}, \langle\delta(k,i,s)\rangle)\right)^{1/n} \asymp \\ &\left(\frac{2}{d}\right)^{d/2} \prod_i \binom{d_i}{d_i^{d_i}} \prod_{k,i,s} \left(\left(\frac{\binom{i}{s}}{\delta(k,i,s)}\right)^{\delta(k,i,s)}\right) \frac{b_{01}^{b_{01}} b_{00}^{b_{00}} b_{11}^{b_{11}}}{2^{b_{01}}}.\end{aligned} \tag{9}$$

For a given n, because of Equation (8), in order to compute $\mathcal{E}(b_{00}, b_{11}, b_{01})$, we need to maximize $\left(\mathcal{F}(b_{00}, b_{11}, b_{01}, \langle\delta(k,i,s)\rangle)\right)^{1/n}$ when b_{00}, b_{11}, b_{01} are fixed and the terms of the sequence $\langle\delta(k,i,s)\rangle$ with either (i) $k = 0$ and $s \geq \lfloor\frac{i+1}{2}\rfloor$ or (ii) $k = 1$ and $s \geq \lceil\frac{i+1}{2}\rceil$ are variables satisfying the constraints in Equations (7) (the terms with $k = 0$ and $s < \lfloor\frac{i+1}{2}\rfloor$ and also the terms with $k = 1$ and $s < \lceil\frac{i+1}{2}\rceil$ are not variables but equal to 0, because we consider majority cuts) .

Because the function $\left(\mathcal{F}(b_{00}, b_{11}, b_{01}, \langle\delta(k,i,s)\rangle)\right)^{1/n}$ is concave when the b's are constant, the maximization can be performed by locating its inflection points by the Lagrange multipliers method. For each one of the constraints of Equations (7), we introduce Lagrange multipliers $\Lambda_i, i = 0, \ldots, m$, Λ_{01}, Λ_{10}, Λ_{00} and Λ_{11}. Fortunately, from the system of equations pertaining to the method of Lagrange multipliers we can eliminate the multipliers $\Lambda_i, i = 0, \ldots, m$ (we avoid giving here the easy algebraic manipulations). We end up with the following 4×4 system of equations in the four unknowns Λ_{01}, Λ_{10}, Λ_{00} and Λ_{11}:

$$\begin{aligned}
b_{01} &= \Lambda_{01} \sum_{i=0}^{m} \left(d_i \frac{\partial\Phi_i\left(\Lambda_{00}^{1/2}, \Lambda_{01}\right)/\partial\Lambda_{01}}{\Phi_i\left(\Lambda_{00}^{1/2}, \Lambda_{01}\right) + \Phi_i^-\left(\Lambda_{11}^{1/2}, \Lambda_{10}\right)} \right) \\
b_{10} &= \Lambda_{10} \sum_{i=0}^{m} \left(d_i \frac{\partial\Phi_i^-\left(\Lambda_{11}^{1/2}, \Lambda_{10}\right)/\partial\Lambda_{10}}{\Phi_i\left(\Lambda_{00}^{1/2}, \Lambda_{01}\right) + \Phi_i^-\left(\Lambda_{11}^{1/2}, \Lambda_{10}\right)} \right) \\
b_{00} &= \Lambda_{00} \sum_{i=0}^{m} \left(d_i \frac{\partial\Phi_i\left(\Lambda_{00}^{1/2}, \Lambda_{01}\right)/\partial\Lambda_{00}}{\Phi_i\left(\Lambda_{00}^{1/2}, \Lambda_{01}\right) + \Phi_i^-\left(\Lambda_{11}^{1/2}, \Lambda_{10}\right)} \right) \\
b_{11} &= \Lambda_{11} \sum_{i=0}^{m} \left(d_i \frac{\partial\Phi_i^-\left(\Lambda_{11}^{1/2}, \Lambda_{10}\right)/\partial\Lambda_{11}}{\Phi_i\left(\Lambda_{00}^{1/2}, \Lambda_{01}\right) + \Phi_i^-\left(\Lambda_{11}^{1/2}, \Lambda_{10}\right)} \right),
\end{aligned} \tag{10}$$

[1] $f(n) \asymp g(n)$ iff $\log f(n) \sim \log g(n)$.

where the functions Φ_i and Φ_i^- are defined by:

$$\Phi_i(x,y) = \sum_{s=\lfloor \frac{i+1}{2} \rfloor}^{m} \binom{i}{s} y^s x^{i-s}, \quad \Phi_i^-(x,y) = \sum_{s=\lceil \frac{i+1}{2} \rceil}^{m} \binom{i}{s} y^s x^{i-s}. \qquad (11)$$

Furthermore, the Lagrange method yields (by using Equations (8) and (9) and by lengthy but easy algebraic manipulations) that in terms of the solutions of this system:

$$\begin{aligned}(\mathcal{E}(b_{00},b_{11},b_{01}))^{1/n} \asymp \left(\max_{\langle\delta(k,i,s)\rangle}\{\mathcal{F}(b_{00},b_{11},b_{01},\langle\delta(k,i,s)\rangle)\}\right)^{1/n} = \\ \left(\frac{2}{d}\right)^{d/2} \prod_i \left(\Phi_i\left(\Lambda_{00}^{\frac{1}{2}},\Lambda_{01}\right) + \Phi_i^-\left(\Lambda_{11}^{\frac{1}{2}},\Lambda_{10}\right)\right)^{d_i} \\ \times \frac{1}{2^{b_{01}}} \left(\frac{b_{01}}{\Lambda_{01}}\right)^{b_{01}} \left(\frac{b_{00}}{\Lambda_{00}}\right)^{b_{00}} \left(\frac{b_{11}}{\Lambda_{11}}\right)^{b_{11}} \left(\frac{1}{\Lambda_{10}}\right)^{b_{10}}. \qquad (12)\end{aligned}$$

The above equation concludes the analytic computation of $\mathcal{E}(b_{00},b_{11},b_{01})$. We describe in the rest of this subsection how to deal with the numeric calculations. For a given average degree d of a random G in $\mathcal{G}(n;d,\text{2-core})$, the degree sequence $\langle d_i\rangle$ is a.a.a. as described in Theorem 2 of Section 3. To arithmetically solve the 4×4 system of Equations (10), we observe that for each given d there exists a $\Delta_{\max}(d)$, such that for $i \geq \Delta_{\max}(d)$, $d_i < 10^{-20}$. Setting for each d, $d_i = 0$ for $i \geq \Delta_{\max}(d)$, the 4×4 system becomes a system of polynomial equations of fixed degree (it was experimentally verified that the selection of the value 10^{-20} guarantees stability of the final result to the fourth decimal place). For each $d \in [1,20]$, we swept over the space of all possible values of $b_{01} = b_{10}, b_{00}, b_{11}$ by an increment of $1/500$ (for each one of the b's) and numerically solved the system using Maple. Then we computed the smallest ζ for which Equation (5) holds. Finally, by Proposition (2) we computed a scaled a.a.a. upper bound $\text{ub}(d)$ of a random graph in $\mathcal{G}(n;d)$.

In Figure 1 we indicatively plot $\text{ub}(d)$ for values of $d \in [1,20]$, juxtaposing it with the plot of the scaled with respect to m upper bound $\frac{1}{2} + \sqrt{\frac{\ln 2}{d}}$ obtained in [5] by the simple first moment method.

5 The Algorithmic Lower Bound

In this section we describe and analyze an algorithm $\mathcal{A}$ that on input a the 2-core $K_2(G)$ of a random graph G in $\mathcal{G}(n;d)$ outputs a coloring C of the vertices of $K_2(G)$ with one of the colors in $\{R,B\}$ (i.e. C is a cut). We remind the reader that by Theorem 2, $K_2(G)$ can be assumed to be random conditional its degree sequence is as in Equation (1). Let $|\mathcal{A}(K_2(G))|$ be the size of the cut C, i.e. the number of its bichromatic edges. From $|\mathcal{A}(K_2(G))|$, we can then compute a scaled lower bound of the max cut of the original graph G by Proposition 2.

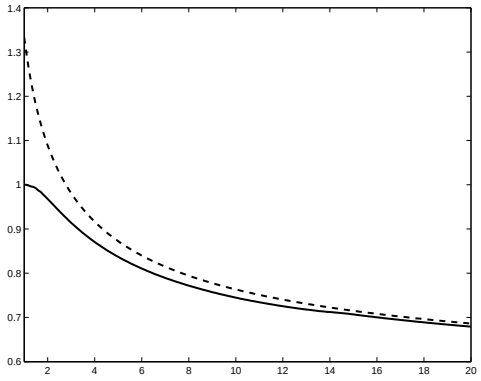

Fig. 1. The upper bound ub(d) given in Section 4 (solid line) versus the upper bound $\frac{1}{2} + \sqrt{\frac{\ln 2}{d}}$ given in [5] (dashed line) for values of average degree $d \in [1, 20]$.

The algorithm $\mathcal{A}$ colors the vertices of $K_2(G)$ one at a step. Let $d(v)$ be the degree of the vertex v in $K_2(G)$. At any step t of the algorithm, let U^t be the set of yet uncolored vertices of $K_2(G)$. For $v \in U^t$, let $d_R^t(v)$ ($d_B^t(v)$, respectively) be the number of vertices that are neighbors of v and are already colored with R (B, respectively). Also let $d_U^t(v) = d(v) - d_R^t(v) - d_B^t(v)$, i.e. $d_U^t(v)$ is the number of neighbors of v in $K_2(G)$ that are yet uncolored. Finally let the *discrepancy* $\Delta^t(v)$ of a vertex $v \in U^t$ be $|d_R^t(v) - d_B^t(v)|$. The algorithm $\mathcal{A}$ at any step t first locates the vertices $v \in U^{t-1}$ that have the largest discrepancy $\Delta^{t-1}(v)$ and chooses among them one with the lowest $d_U^{t-1}(v)$. It then assigns to v the color R if $d_B^{t-1}(v) \geq d_R^{t-1}(v)$ and B otherwise. Intuitively, $\mathcal{A}$ at any step greedily maximizes the difference of the number of edges to be placed in the cut from the number of edges to remain out of it. At the same time, it minimizes the impact of each color assignment to future assignments.

The algorithm $\mathcal{A}$ is described in pseudo-code in Algorithm 1. In the next subsection, we give its analysis.

5.1 Analysis of the Algorithm

In this subsection, we compute the expected size of the cut produced by $\mathcal{A}$ when applied on a random graph $G \in \mathcal{G}(n; \langle d_i \rangle_{i=0,\ldots m})$, with a given degree sequence $\langle d_i \rangle_{i=0,\ldots m}$. (Notice that in this subsection to simplify the notation we denote by G a random graph with a given degree sequence, whereas previously G usually referred to a random graph in $\mathcal{G}(n, d)$.) Again we apply the differential equations technique. By the differential equations methodology, the expected size of the cut is a.a.a. its actual value within an additive $o(n)$ term.

As it is standard in such cases, we analyze the algorithm $\mathcal{A}$ not as a discrete but as a continuous stochastic process. So, for any step $s = 1, \ldots, n$ where one of the n vertices of the graph is colored, let the corresponding "time" parameter be $t = s/n$ and assume that t takes all real values in $[0, 1]$. Now let $D_{i,r,b}(t)$ be set of

Algorithm $\mathcal{A}(K_2(G) = (V_{K_2}, E_{K_2}), C)$
$t = 0$; $U^0 = V_{K_2}$; /* Initialize the set of yet uncolored vertices of $K_2(G)$ */
for all $v \in V_{K_2}$ **do** /* Initialize the number of neighbors of each vertex v of $K_2(G)$ */
$d_U^0(v) = d(v)$; $d_R^0(v) = 0$; $d_B^0(v) = 0$;
end for
while $U^t \neq \emptyset$ **do** /* while there are uncolored vertices */
$t = t + 1$;
Locate all vertices $v \in U^{t-1}$ having the largest discrepancy $\Delta^{t-1}(v)$;
Among them, arbitrarily choose a vertex v with the lowest $d_U^{t-1}(v)$;
if $d_B^{t-1}(v) \geq d_R^{t-1}(v)$ **then**
$C[v] = R$; /* Assign color R to v */
/* Update the number of colored R and yet uncolored neighbors of each neighbor u of v */
for each vertex u adjacent to v **do**
$d_R^t(u) = d_R^{t-1}(u) + 1$;
$d_U^t(u) = d_U^{t-1}(u) - 1$;
end for
else
$C[v] = B$; /* Assign color B to v */
/* Update the number of colored B and yet uncolored neighbors of each neighbor u of v */
for each vertex u adjacent to v **do**
$d_B^t(u) = d_B^{t-1}(u) + 1$;
$d_U^t(u) = d_U^{t-1}(u) - 1$;
end for
end if
$U^t = U^{t-1} \setminus \{v\}$; /* Update the set of yet uncolored vertices of $K_2(G)$ */
end while

Algorithm 1: Algorithm $\mathcal{A}$ takes as input the 2-core $K_2(G)$ of a random graph $G = (V, E)$ and returns a coloring C of its vertices.

vertices yet uncolored at time t and which, in addition, at t have r (respectively, b) neighbors already colored red (respectively, blue) and also i neighbors not yet colored. Let $d_{i,r,b}(t)n$ be the cardinality of $D_{i,r,b}(t)$. Also, let $C(t)$ be the set of bichromatic edges at time t and $c(t)n$ its cardinality.

For the computer-aided numerical analysis, we partition the interval $[0, 1]$ into small subintervals of length Δt (in our implementation we took $\Delta t = 10^{-5}$). Also instead of $\mathcal{A}$ we consider a step-like "approximation" $\mathcal{A}'$ to $\mathcal{A}$ which selects the vertices to be colored as follows: consider one of the partition subintervals, say $[t_0, t_0 + \Delta t_0]$, and assume that the original algorithm $\mathcal{A}$ at time t_0 would choose a vertex in $D_{i_0,r_0,b_0}(t_0)$, then the step-like $\mathcal{A}'$ chooses vertices in $D_{i_0,r_0,b_0}(t)$ throughout $t \in [t_0, t_0 + \Delta t_0]$.

Assume, wlog, that $r_0 > b_0$. Then because of its greediness, $\mathcal{A}'$ colors blue the vertex it selects at each $t \in [t_0, t_0 + \Delta t_0]$. Let now $\mathbf{Pr}(t; i, r, b)$ be the probability that the vertex in $D_{i_0,r_0,b_0}(t)$ selected at time t by $\mathcal{A}'$ is connected with a vertex

in $D_{i,r,b}(t)$ for a given $i, r, b = 0, \ldots, n-1$. Then by easy probabilistic arguments we get:

$$\mathbf{Pr}(t; i, r, b) = i_0 \frac{id_{i,r,b}(t)}{\sum_{i,r,b} id_{i,r,b}(t)}. \tag{13}$$

From the above equation we get (we omit the details) that the dynamics of the parameters $d_{i,r,b}(t)$ and $c(t)$ for $i, r, b = 0, \ldots, n-1$ are governed in the interval $[t_0, t_0 + \Delta t_0]$ by the following system of differential equations (assuming, wlog, that the color assigned to vertices selected throughout $[t_0, t_0 + \Delta t_0]$ is blue):

$$\begin{aligned}
&\frac{\partial d_{i,r,b}(t)}{\partial t} = \mathbf{Pr}(t; i+1, r, b-1) - \mathbf{Pr}(t;\ i, r, b) - \delta_{i,b,r}^{i_0,b_0,r_0}, \quad i, r, b = 0, \ldots, n-1 \\
&\frac{\partial c(t)}{\partial t} = r_0, \\
&\text{where } \delta_{i,b,r}^{i_0,b_0,r_0} = \begin{cases} 1, & i_0 = i, b_0 = b, r_0 = r \\ 0, & \text{otherwise.} \end{cases}
\end{aligned} \tag{14}$$

The above systems of differential equations are numerically solved successively in each partition subinterval, separately for each average degree $d \in [1, 20]$ by both Maple and C programs (we sweep over the interval of d's with small incremental steps). Notice however that the numerical solution presupposes that we have a finite number of equations and parameters. This is materialized by setting to zero the degree parameters i, b, r that exceed a bound $\Delta_{\max}(d)$. For graphs with Poisson degree sequence, i.e. $d_i = e^{-d}(d^i/i!)$, it is well known (see e.g. [1]) that there is a sufficiently large $\Delta_{\max}(d)$ that guarantees that the numerical results obtained by considering only degree parameters $< \Delta_{\max}(d)$ are correct within any desired degree of accuracy. This is also true for graphs like $K_2(G)$ obtained by deletion of certain vertices from graphs with a Poisson degree sequence. So it is legitimate to ignore degree parameters larger than a suitably chosen $\Delta_{\max}(d)$. Once the differential equations are solved, then the value of $c(t)$ as t approaches 1 gives the scaled with respect to n cut size of G.

In Figure 2, we give a plot of the final value of $\mathrm{lb}(d)$ (i.e. the value obtained after applying Proposition (2)) for $d \in [1, 20]$ compared with the values of the algorithms in Coja-Oghlan et al. [7] and Coppersmith et al. [8]. To corroborate the results obtained by numerically solving the analytically derived differential equations, we performed simulation experiments. The simulations gave, as expected, the same values for $\mathrm{lb}(d)$ as the numerical solutions of the differential equations. In Table 1 we juxtapose the simulation results with the results obtained from the differential equations, for certain indicative values of d.

6 Conclusion and Discussion

Putting together the computations of the previous sections, we reach the conclusion that for every average degree $d > 0$, a.a.a. $\frac{\mathrm{lb}(d)}{\mathrm{ub}(d)} > 0.952$. Therefore our main result, Corollary 1, has been proved. In Figure 3 we give a plot of the

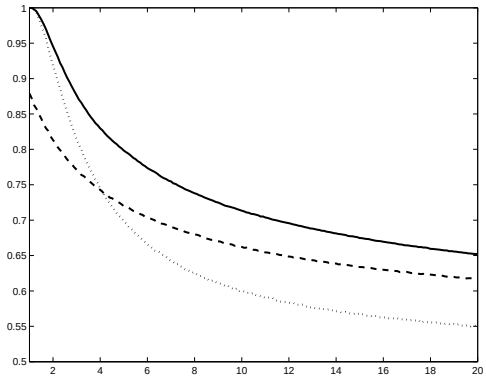

Fig. 2. Our values of the lower bound $lb(d)$ (solid line), obtained by the numerical solution of differential equations (and corroborated by simulation experiments), juxtaposed with the corresponding values obtained by simulating the algorithms in Coja-Oghlan et al. [7] (dashed line) and Coppersmith et al. [8] (dotted line), for values of average degree $d \in [1, 20]$.

d	2.0	3.5	4.0	4.5	5.0	6.0	8.0	10.0	12.0	14.0
SE	0.945	0.850	0.829	0.813	0.798	0.773	0.738	0.713	0.696	0.681
DE	0.945	0.851	0.830	0.813	0.798	0.774	0.738	0.713	0.695	0.681

Table 1. Simulation experiment results (SE) versus numerical solution of the differential equations (DE) for indicative values of average degree d.

ratio $\mathrm{ub}(d)/\mathrm{lb}(d)$ for various values of d, especially close to the average densities where the ratio approaches (from above) the Håstad threshold. Theoretically it is conceivable that there might exist a deterministic algorithm that a.a.a. computes exactly the maximum cut size of a random graph or, more realistically, offers a Polynomial Time Approximation Scheme to it (PTAS). We believe that for at least certain values of d there is no such PTAS valid a.a.a. However it is conceivable that for every given $\epsilon > 0$, one might come with an algorithm that yields an a.a.a. approximation scheme of ratio ϵ. Finally, when d is not constant, but approaches infinity with n (dense graphs), then it is known that a.a.a. $(1/2)|E| < |E|((1/2) + o(1))$ [19].

We believe that these results can also be extended to the case of d-regular graphs. We are currently working on this. Also these results extend to k-Max-Cut for $k > 2$.

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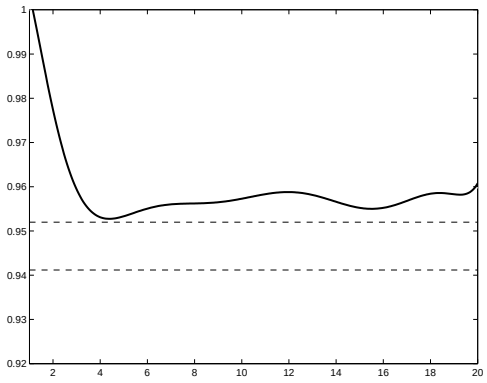

Fig. 3. The approximation ratio $\mathrm{lb}(d)/\mathrm{ub}(d)$, for values of average degree $d \in [1, 20]$. The lower dashed line corresponds to Håstad inapproximability threshold 16/17, while the upper dashed line to our approximation ratio 0.952.

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