

Exponential Lower Bound for tree-like Lovász-Schrijver proof systems

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Complexity of Constraints

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Proof Systems

Definition ([Cook and Reckhow, 1979])

A *proof system* for a language L is a polynomial-time computable function mapping strings in some finite alphabet (proof candidates) onto L (whose elements are considered as theorems).

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Let **TAUT** denote the co-NP-complete language of tautologies in DNF (equivalently, **UNSAT** is language of unsatisfiable formulas in CNF). A *propositional proof system* is proof system for **TAUT** (**UNSAT**).

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Motivation:

- $NP \neq co-NP$ (and therefore $P \neq NP$) if and only if no short, easily-verifiable proofs of some tautology exist [Cook and Reckhow, 1979].
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Semi-algebraic Proof Systems

$$\frac{\bigvee x_i \vee \bigvee \neg y_i}{x^2 - x \geq 0} \quad \frac{\quad}{x \geq 0} \quad \frac{\quad}{1 - x \geq 0} \quad \frac{f \geq 0 \quad g \geq 0}{\alpha f + \beta g \geq 0}$$

$\rightarrow \sum x_i + \sum (1 - y_i) - 1 \geq 0$

Gomory-Chvátal (CP)

$$\frac{\sum ca_i x_i \geq A}{\sum a_i x_i \geq \lceil A/c \rceil}$$

c , a_i and A are integers

Lovász-Schrijver (LS)

$$\frac{h \geq 0}{hx \geq 0} \quad \frac{h \geq 0}{h(1-x) \geq 0}$$

where h is linear

The proof is derivation of $-1 \geq 0$.

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(Note: The above equation is a simplified representation of the derivation shown in the image. The original image shows a more complex derivation involving the sum of x_i and the sum of (1 - y_i).)

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Lower Bounds

- Starting from lower bound for Resolution [Tseitin, 1968]
- Exponential size lower bound for CP on Clique-Coloring Tautologies [Pudlák, 1997]
- Exponential size lower bound for tree-like LS on Symmetric Knapsack Problem (that **has not** short notation as a Boolean formula) [Grigoriev et al., 2002]
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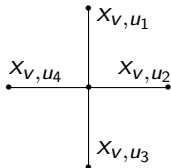
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Our Result (Informally)

We prove exponential lower bound
for tree-like LS
as **propositional** proof system.

Tseitin formulas

$G = (V, E)$, to each $e \in E$ attach $\{0, 1\}$ -variable x_e , fix $V' \subseteq V$, $|V'|$ is odd,



$$\sum x_{v,u_i} = 1 \pmod{2}$$

$$T_G = \begin{cases} \bigoplus_{v \ni e} y_e = 1, & \text{for all } x \in V' \\ \bigoplus_{v \ni e} y_e = 0, & \text{for all } x \in V \setminus V' \end{cases}$$

T_G is contradiction.

Expander graphs

$G = (V, E)$ is (r, d, c) -expander iff degrees of $v \in V$ are less than d and for any $A \subset V$, $|A| \leq r$, $|\partial(A)| \geq c|A|$, where boundary $\partial(A) = \{(v, w) | v \in A, w \in V \setminus A\}$.

Example:



$$\partial(v) = \{(v, w), (v, u)\}$$

$(1, 2, 2)$ -expander

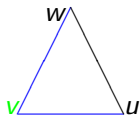
Lemma ([Alekhovich et al., 2004])

If G is (r, d, c) -expander and we remove not more than $cr/4$ edges from it, then we can obtain a $(r/2, d, c/2)$ -expander by removing some other edges and vertices.

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Tree-like and Static LS_+

Tree-like LS_+

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$$\frac{\overline{x \geq 0} \quad \overline{1 - x \geq 0}}{f \geq 0 \quad g \geq 0} \quad \frac{\overline{x^2 - x \geq 0}}{h \geq 0} \quad \overline{h^2 \geq 0}$$

$$\frac{\alpha f + \beta g \geq 0}{xh \geq 0} \quad \frac{h \geq 0}{(1 - x)h \geq 0} \quad h \text{ is linear}$$

Goal is to derive $-1 \geq 0$

Static LS_+ [Grigoriev et al., 2002]

$$\sum_{i=1}^M f_i \sum_l g_{i,l} = -1 ,$$

where $g_{i,l} = c_{i,l} \cdot \prod_{k \in U_{i,l}^+} x_k \cdot \prod_{k \in U_{i,l}^-} (1 - x_k)$, coefficients $c_{i,l} \in \mathbb{R}^+$ and f_i are axioms

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Our result

Theorem

Any tree-like LS_+ refutation of Tseitin formula T_G for a connected d -regular $(r = n/2, d, c)$ -expander G with n vertices and $c > 2$ has size $\exp(\Omega(n))$.

Proof Sketch

- Prove that every static LS_+ proof of Tseitin formula based on enough good expander contains at least one polynomial with **special property** (in fact: polynomials with big enough number of variables).
- Make linear number of substitution of variables such a way that:
 - 1) all except may by exponential small fraction of polynomials with **special property** will be removed from the proof;
 - 2) resulting Tseitin formula has enough good expansion property;
 - 3) resulting formula is not trivially unsatisfiable (does not contain empty clauses).

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First step: polynomial with special property

- Existence polynomials with **special property** in all *Positivstellensatz* proofs of Tseitin formulas on expanders [Grigoriev, 2001]

$$\bigvee x_i \vee \bigvee \neg y_i \quad \rightarrow \quad \prod (1 - x_i) \cdot \prod y_i = 0$$

axioms $x^2 - x = 0$, proof is the set of polynomials g_1, \dots, g_{m+n} and h_1, \dots, h_l such that

$$\sum_{i=1}^{m+n} f_i g_i = 1 + \sum_{j=1}^l h_j^2 .$$

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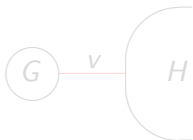
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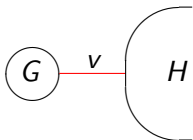


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Open Questions

- Prove lower bound for DAG-like LS
- Prove lower bound for CP on Tsejtin formulas

Thank you!

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