

# An Algebraic Characterisation of Complexity for Valued Constraints

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Dagstuhl Meeting on the Complexity of CSP, October 2006



# Outline

## 1 Motivation

- The Valued CSP

## 2 Our Results/Contribution

- The Results
- Basic Ideas for Proof



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# From CSP to VCSP

## Definition

A **CSP** is a triple  $\langle V, D, C \rangle$  where

- $V$  is a finite set of **variables**
- $D$  is a finite **domain**
- $C$  is a finite set of constraints.

Each **constraint** is a pair  $\langle \sigma, \rho \rangle$  where

- ▶  $\sigma \in V^*$  is the **scope**
- ▶  $\rho \subseteq D^{|\sigma|}$  is the **relation**



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## Definition

A **VCSP** is a four tuple  $\langle V, D, C, \Omega \rangle$  where

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## Definition

The **valuation structure**  $\Omega$ , is ordered, with a 0 and  $\infty$  and an AC **aggregation**  $\oplus$ , where  $\alpha \geq \beta, \gamma \in \Omega$  and  $\alpha \oplus \gamma \geq \beta \oplus \gamma$ .





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## Definition

An **assignment** is a mapping  $s : V \mapsto D$ . Its cost is given by

$$\text{Cost}_p(s) = \bigoplus_{\langle \langle v_1, \dots, v_r \rangle, \phi \rangle \in C} \phi(s(v_1), \dots, s(v_r)).$$



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## Definition

A **solution** is an assignment with minimal cost.



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## Definition

Here we will stick to the valuation structure  $\mathbb{Q} \cup \infty$ .



# Motivation

- The VCSP framework is a natural extension of CSP when we are interested in **optimisation** rather than simply **feasibility**.
- VCSP properly extends MAX-CSP, CSP and MAX-SAT.
- There are large well-known tractable VCSP languages.



# Background

- Tractable cases known when  $D = \{0, 1\}$ ,  $\Omega = \{0, 1, \infty\}$  and all cost functions have finite values (Creignou).
- Extended to  $|D| = 3$ . There is still essentially just one class (Jonsson).
- Tractable cases identified for  $|D| = 2$  with rational or infinite values. There are precisely eight cases.
- Several other maximal tractable languages discovered for larger domains.
- All known maximal tractable cases are characterised by single multimorphisms
- Intractable languages have no multimorphisms (to speak of)



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- All known maximal tractable cases are characterised by single multimorphisms
- **Intractable languages have no multimorphisms (to speak of)**



# Multimorphisms

## Definition

A  **$k$ -ary weighted function**  $F$  on a set  $D$  is a set of the form  $\{\langle w_1, f_1 \rangle, \dots, \langle w_n, f_n \rangle\}$  where each  $w_i$  is a positive integer, and each  $f_i$  is a distinct function from  $D^k$  to  $D$ .

For any  $r$ -ary cost function  $\phi$ , we say that a  $k$ -ary weighted function  $F$  is a  **$k$ -ary fractional polymorphism** of  $\phi$  if, for all  $\langle x_1, \dots, x_r \rangle \in D^k$ ,

$$k \sum_{i=1}^n w_i \phi(f_i(x_1), \dots, f_i(x_r)) \leq \left( \sum_{i=1}^n w_i \right) \cdot \left( \sum_{i=1}^k \phi(x_1[i], \dots, x_r[i]) \right)$$

The set of all fractional polymorphisms of  $\Gamma$  is denoted **fPol**( $\Gamma$ ).





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The set of all fractional polymorphisms of  $\Gamma$  is denoted **fPol**( $\Gamma$ ). It is a **multimorphism** precisely when  $\sum_{i=1}^n w_i = k$ .



# Feasibility Relations

- We define  $\text{Feas}(\Gamma)$  ... in the natural way.
- Every function in a fractional polymorphism of  $\phi$  is a classical polymorphism of  $\text{Feas}(\phi)$ .



# VCSP Expressiveness defined

We have three ways of generating new cost functions.

- **Rational Equivalence**. There exist positive integers  $a, b$  and a constant  $c$  such that

$$\forall x \in D^r, a.\phi'(x) = b.\phi(x) + c$$

- **Explicit construction**. We build  $\phi'$  as the projection to a set of variables of the solutions of an instance in  $\text{VCSP}(\Gamma)$ . Here projection is minimisation and not existential quantification.
- **Feasibility**. All of  $\text{Feas}(\Gamma)$  is allowed.



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# The Expressible Cost Functions

## Definition

The set of expressible cost functions of  $\Gamma$  is the smallest set generated by explicit construction that is closed under rational equivalence and feasibility. We denote this set  $\hat{\Gamma}$ .

## Theorem

*The problem  $\text{VCSP}(\hat{\Gamma})$  is NP-hard if and only if  $\text{VCSP}(\Gamma)$  is NP-hard.  
The problem  $\text{VCSP}(\hat{\Gamma})$  is tractable if and only if  $\text{VCSP}(\Gamma)$  is tractable.*



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The problem  $\text{VCSP}(\hat{\Gamma})$  is tractable if and only if  $\text{VCSP}(\Gamma)$  is tractable.*

Using PTIME reductions. Probably LOGSPACE



# Capturing Complexity.

## Theorem

*For any valued constraint language  $\Gamma$  with costs in  $\mathbb{Q} \cup \{\infty\}$ , and any cost function  $\phi$  taking values in  $\mathbb{Q} \cup \{\infty\}$ ,  $\phi \in \hat{\Gamma}$  if and only if  $\text{Pol}(\Gamma) \subseteq \text{Pol}(\{\phi\})$  and  $\text{fPol}(\Gamma) \subseteq \text{fPol}(\{\phi\})$ .*

## Corollary

*The tractability or NP-hardness of a valued constraint language  $\Gamma$  with costs in  $\mathbb{Q} \cup \{\infty\}$  is determined by its feasibility polymorphisms and fractional polymorphisms.*



# Finite Valued OR Classical

## Finite Valued

When the cost functions in  $\Gamma$  take only finite rational values, the tractability or NP-hardness is determined by the fractional polymorphisms alone.

## Classical CSP

When  $\Gamma = \text{Feas}(\Gamma)$  the tractability or NP-hardness is determined by the feasibility polymorphisms alone.





# Having no Fractional Polymorphisms is Bad

## Lemma

*For any  $D$  with  $|D| \geq 2$  there is an NP-hard cost function whose fractional polymorphisms are precisely the fractional projections, and which has every possible feasibility polymorphism.*

## Theorem

*If the only fractional polymorphisms of  $\Gamma$  are the fractional projections then  $\Gamma$  is NP-hard.*



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# Its all about the Costs.

- We want to build an  $r$ -ary  $\phi$  using a gadget in  $\text{VCSP}(\Gamma)$ .
- Write down the  $k$  distinct  $r$ -tuples for which  $\phi$  has finite value.
- Consider this as  $r$  (possibly not distinct)  $k$ -tuples.
- Name these  $\phi_1, \dots, \phi_r$



# A Gadget for $\phi$ .

- The variables are the set  $D^k$ .
- The domain is  $D$ .
- An  $s$ -ary cost function  $\gamma$  matches the columns  $c_1, \dots, c_s$  if it takes a finite value on each of the  $k$  induced  $s$ -ary rows.
- If  $\gamma$  matches  $s$  then create a (positive integer) variable  $X(\langle S, \gamma \rangle)$ .
- The  $X$  gadget is obtained by applying the constraint  $\langle S, \text{Feas}(\gamma) \rangle$  and  $X(\langle S, \gamma \rangle)$  copies of the constraint  $\langle S, \gamma \rangle$  for each  $\gamma \in \Gamma$  and each  $S$  which matches  $\gamma$ .
- Consider the projection of the solutions onto the variables.  
 $\phi_1, \dots, \phi_r$ .



## What We Prove

If there is no assignment to  $X$  for which the  $X$  gadget builds  $\phi$  plus some constant, then we use Farkas Lemma to get a fractional polymorphism of  $\Gamma$  that is not a fractional polymorphism of  $\phi$ .

## Step One

It is clear (to everyone here) that the  $X$  gadget builds something finitely equivalent to  $\phi$  (finite valued exactly when  $\phi$  is finite valued).

## Step Two

Just write down the inequalities and equations that define when the  $X$  gadget works.



# The Magic of Farkas

## What Farkas Does

Farkas Lemma gives a certificate for a set of unsatisfiable inequalities and equalities.

## The Trick

The certificate we obtain concerns weighted sums of values of the individual cost functions in  $\Gamma$ . It is the same weighted sum for every place where a cost function matches. These coefficients give us directly a fractional polymorphism for  $\Gamma$ . The  $n$  is approximately  $D^{D^k}$  where  $k$  can be as large as  $D^r$ .

The certificate also promises that the same coefficients give us an inequality on weighted sums of values of  $\phi$ . Here it is on specific values but that is all we need. For these tuples it tells us precisely that the values of  $\phi$  do not satisfy the fractional polymorphism inequality.

# VCSP Summary

- The **expressibility** of a VCSP language is determined by its fractional and feasibility polymorphisms.
- The **complexity** of a VCSP language is determined by its fractional and feasibility polymorphisms.
- Having no (real) fractional polymorphisms makes a language NP-hard.
  
- Still to do...
  - ▶ What is a **fractional clone**?
  - ▶ Can fractional and Feasibility Polymorphisms be combined?.

